

Invention Disclosure Form

[For Inventors: An Invention Disclosure should be made when something new and useful has been conceived or developed or when unusual, unexpected, or non-obvious research results have been achieved that may have commercial value. The purpose of the Invention Disclosure Form is to permit evaluation of an invention to determine whether commercial development is feasible and whether the invention is patentable. The invention should be as clearly described as possible. Information that helps evaluators appreciate the invention will increase its ultimate chances for successful licensing and commercialization.]

The timing of an invention’s public disclosure is a controlling factor in determining the invention’s patentability. It is crucial not to publicly disclose any invention-related information to a person who is not under any duty to keep the invention a secret. Public disclosure includes, but is not limited to, a printed publication in any media form (e.g., a paper copy or an electronic copy on the internet), a demonstration or presentation to any third party, and a sale or an offer for sale.

Where was the work supporting this invention conducted (please check all that apply)?

Albert Einstein College of Medicine Montefiore Medical Center Other: _____

<p>If you checked Albert Einstein College of Medicine, submit your disclosure to the following address/email:</p> <p>Secretary of the Patent Committee Office of Biotechnology and Business Development Albert Einstein College of Medicine Jack and Pearl Resnick Campus 1300 Morris Park Avenue — Belfer 908 Bronx, NY 10461 biotech@einstein.yu.edu</p>	<p>If you checked Montefiore Medical Center, submit your disclosure to the following address:</p> <p>Office of Research and Sponsored Programs Montefiore Medical Center 3308 Rochambeau Avenue Bronx, NY 10467</p>
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*If you checked both **Albert Einstein College of Medicine** and **Montefiore Medical Center**, please submit your disclosure to both offices.*

A. Description of the Invention

1. **Provide non-confidential descriptive title of the invention.** (brief title, preferably 20 words or less, that describes the invention and does not disclose confidential information)

2. **What is the invention?** (provide a brief description of the invention)

3. **How would you characterize the type or nature of the invention?**

therapeutic, diagnostic, vaccine, device, reagent, software program, mobile application, platform technology for _____, and/or other: _____

4. **What are the problems or needs the invention solves or addresses?**

5. **How does this invention differ from related technologies that have been published (including your own work) and/or are in clinical development and/or are on the market? And, how is this invention superior to those existing technologies?**

6. **What are the disadvantages, if any, of the invention and how might they be overcome?** (e.g., prohibitive cost, problematic side effects, and/or other concerns)

B. Potential Commercial Value of the Invention

7. **What are the potential commercial uses of the invention? What is the “end product” that will eventually be sold/licensed?**

8. **What is the current stage of development of the invention and what additional work needs to be done before a company is likely to find the invention of interest?** (If there is more work to be done, please indicate the nature of the work to be done and how long it will take to complete, whether the necessary resources to do the work are available, and the milestone(s) that would trigger commercial interest.)

9. **What is the estimated market addressable by the invention?** (include potential number and type of end users/customers as well as potential annual sales)

10. **List companies that might have commercial interest in the invention and indicate why they might find it valuable.** (If there has already been contact with any of these companies, provide the relevant contact information, date(s) of the discussions, and indicate the nature of the discussions. Please note if these discussions were under the auspices of a previously executed confidentiality agreement.)

C. Information Available for Patent Application Drafting

11. **Attach a confidential “detailed description” of the invention. The description is limited to 4 pages of text and additional pages of data/figures.** (Detailed description should clearly explain the essence of the invention so that it is easily understandable. Description should convey significance, novelty and utility of invention. Feel free to cut and paste from relevant publications, manuscripts, research proposals, etc. *If applicable, a self-contained manuscript/abstract/poster that fully describes and encompasses the invention may be attached as supplementary material; however, grant applications and/or multiple manuscripts that do not fully describe/support the invention cannot be accepted as supplementary material*)

12. **Provide date the invention was conceived (an invention has been “conceived” when a definite and permanent idea about an operable invention has been formed, and when one of ordinary skill in the scientific field of the invention can make the invention without too much additional research or experimentation):** _____

13. **Provide date the invention was reduced to practice (for example, for a device, when a prototype or model of the invention was created; for a method, when the method was performed and results were confirmed; for a reagent, when the reagent was produced or synthesized):**

14. **Has this invention been used/tested experimentally and/or clinically?** (If none of these occurred, explicitly indicate NONE in the space below; if any of these events are imminent, indicate date and location.)

15. **Has this invention been in public use (for example, accessible without restriction to another person who is not an inventor, or commercially exploited), on sale, and/or offered for sale? If so, please provide the date(s) of such events and describe the nature of the activities that occurred in public, if any confidentiality obligation was imposed on those who observed the public use of the invention, and the details of the sale or offer for sale.** (If none of these occurred, explicitly indicate NONE in the space below; if any of these events are imminent, indicate expected date and location.)

16. **Were any proprietary materials and/or special techniques received from third party(ies) (e.g., company, another academic institution, etc.) that were incorporated into the invention and/or used in the research that led to the invention?** (If none, explicitly indicate NONE in the space below; otherwise, identify the source of the materials and/or techniques and confirm whether the transfer was covered by a Material Transfer Agreement and/or a Confidentiality Agreement.)

17. Please complete the following table to indicate **the public disclosure status of the invention (past or in the near future).**

Status of abstract / presentation/ manuscript describing the invention (please provide copy of document if available)	Indicate yes or no	Date of submission and/or publication
Has an abstract already been submitted for a conference or presentation?		
Will an abstract be submitted for a conference or presentation in the next three months?		
Has a public presentation been given?		

Has an abstract or manuscript been submitted or posted on any websites?		
Has a manuscript been accepted for publication?		
Has a manuscript been published? Indicate online AND in-print dates.		
If you are submitting a manuscript with this disclosure, when do you intend to submit the manuscript for publication?		

(If this invention has been described in any publication(s), manuscript(s), grant application(s), report(s), thesis(es), abstract(s), poster(s), oral presentation(s), demonstration(s), sales catalogue(s), or other materials or if such materials are in preparation or in press *indicate the date or anticipated date* and journal and/or location. It is vital to identify the **first** date of publication—e.g., if the invention has been described in a journal that provides an online publication prior to the date of the printed journal, the earliest date of the online publication must be provided.

If you've answered "YES" to any of the questions above, please provide the publication/manuscript/abstract as supplementary material and include the name of the meeting/journal, the publication date, and the date of meeting (if applicable).

18. **Identify all sponsors whose support contributed, in whole or in part, to the conception, development, and/or reduction to practice of the invention.** (If none, explicitly indicate NONE in the space below.)

Federal Grants and Contracts		
Sponsor	Award Number	Time Period
Foundations		
Sponsor	Award Number	Time Period
Industry-Sponsored		
Sponsor	Award Number	Time Period
Other		
Sponsor	Award Number	Time Period

19. **Who else was involved in the effort which led to the invention, whether or not such collaboration involved financial support?** For each listed collaborator, provide a short description of the contribution made by that collaborator(s). (If none, explicitly indicate NONE in the space below; otherwise, identify all other academic, research, or for-profit entities that were involved.)

20. **Although the concepts were uniquely developed by the undersigned, identify persons, if any, with whom any collaborative work on the invention was shared.** Provide a description of the work shared with each person.

- a. _____
- b. _____
- c. _____
- d. _____

21. **Identify three members of the faculty capable of evaluating the invention:**

- a. _____
- b. _____
- c. _____

22. **Inventor's* full name:** _____

Citizenship (Required by the U.S. Patent Office): _____

Inventor's home address: _____

Telephone: _____

Inventor's employer/institution (at time of invention): _____

Inventor's department: _____

Telephone: _____

E-mail address: _____

Signature: _____

Date: _____

***If more than one Inventor who contributed to the conception and/or design of the invention (attach more sheets if needed):**

Full name: _____ Citizenship: _____ Home address: _____ _____ Telephone: _____ Inventor's employer/institution (at time of invention): _____ Department: _____ Telephone: _____ E-mail address: _____ Signature: _____ Date: _____	Full name: _____ Citizenship: _____ Home address: _____ _____ Telephone: _____ Inventor's employer/institution (at time of invention): _____ Department: _____ Telephone: _____ E-mail address: _____ Signature: _____ Date: _____
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***If more than one Inventor, please allocate the percentage of contribution made by each Inventor to the conception of the invention (add more rows to the table below if needed). It is understood that this information will be used in the allocation of any distribution between College and Inventor(s) should this invention be commercialized.**

Name of Inventor:	% Contribution	Provide a description of the contribution of each named inventor to the conception and/or design of the invention	Signature:	Date:
1.				
2.				
3.				
4.				

